Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

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When do I need to file my financial disclosure?

Nominees: This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

Members: A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1st regardless of whether any information on the form has changed.

SSC Nominees and Members: Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1st regardless of whether any information on the form has changed.

Questions?

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

PENALTIES

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

STATEMENT OF FINANCIAL INTERESTS

Type of Appointment (list Nominee or Member) Council Member Type of Filing: DAnnual Supplemental Nominee		
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Instructions

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- 2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- After you have completed the form, sign and date the form on the last page.
- Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3rd Floor, Silver Spring, Maryland 20910.

Public Access to Information

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.



Part 1: Personal Financial Interest

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Section 1.1: Assets and Ownership
Question 1.1.1 Do you have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?
No
Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
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	Check all that apply:
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	Check all that apply: Consulting Lobbying Legal
	☐ Advocacy ☐ Representational Services
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Provides equipment essential to any of the listed activities

Provides other services essential to any of the listed activities

□ Representational Services □ Marketing

Lobbying

AdvocacyMarketing

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Processing

Provides equipment essential to any of the listed activities
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□ Representational Services □ Marketing

Legal

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	ployment with an association or organization whose members include companies, vessels, or other
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	Lobbying
	Advocacy
	Marketing
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Part 2: Financial Interest of Spouses, Partners, and Minor Children

Question 2.1: Assets and Ownership Question 2.1.1 Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned? No Yes Check all that apply: Harvesting Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest. Question 2.1.2 Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned? No Yes Check all that apply: Harvesting Processing Marketing Processing Processing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include the percentage ownership	If you have no spouse, partner, or minor children, check the box below and proceed to Part 3. I have no spouse, partner, or minor children
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Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest. Question 2.1.2 Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned? No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.	
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Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned? No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.	share holdings or any other monetary or in-kind enumeration of the company or business. Please include
Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned? No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Provides other services essential to any of the above activities Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.	
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Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.	☐ Processing
share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.	Provides equipment essential to any of the above activities
Communicial Fishermen Livence 2822 PR 100% ownership PR 1645 HH boot name Adrietys Compale Liven Palille - scuba diver / Captain	share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.
Consolo Lues Palilla - scuba diver / Captain	Communical Fishermen Literie 2822 PR 100% ownership
	Consela Luca Palilla - scuba diver / Captain

Question 2.1.3	
Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole	or partial) in any other
entity engaged in the following activities in any fishery under the jurisdiction of the Council cou	icerned?
● No	
O Yes	
Check all that apply:	•
☐ Harvesting	
Processing	
☐ Marketing	
 Provides equipment essential to any of the above activities 	
Provides other services essential to any of the above activities	
Please list and describe each applicable interest, as indicated above. Please include the per	centage ownership or
share holdings or any other monetary or in-kind enumeration of the entity. Please include	
interest began, and fishery or fisheries of interest.	
No.	*
Question 2.1.4	
Does your spouse, partner, or minor child have any stock, equity or ownership interest (wholly	or partially) in a
company, business, or other entity that provides any of the following services for any fishery u	
the Council concerned?	,
No	
O Yes	
Check all that apply: Consulting	
☐ Legal	
Representational Services	
Lobbying	
☐ Advocacy	
☐ Marketing	
-	
Please list and describe each applicable interest, as indicated above. Please include the per	
share holdings or any other monetary or in-kind enumeration of the entity. Please include	entity name, aate the
interest began, and fishery or fisheries of interest.	
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Section 2.2 Employment of Spouse, Partner, or Minor Child	
Question 2.2.1	
Does your spouse, partner, or minor child have employment with any company or business en	gaged in the following
activities in any fishery under the jurisdiction of the Council concerned?	
No	*
O Yes	

	No	
0	Yes	
	Check a	ll that apply:
		Harvesting
		Processing
		Marketine

Provides equipment essential to any of the above activities

Provides other services essential to any of the above activities



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estion 2.2.		minor child have	employment with a	fishing vessel engaged	in the follo	wing activit
		on of the Counci		and a second angular		
No						
O Yes						
Check a	Il that apply:					
	Harvesting					
	Processing					
	Marketing					
		•	I to any of the above			
	Provides oth	er services essen	itial to any of the abo	ove activities		
ease list ner	son and relati	onship and desci	ribe each applicable	interest, as indicated a	bove. Plea	se include
				ery or fisheries of intere		
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Question 2.2.5

Does your spouse, partner, or minor child have employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially) another entity providing any of the following services in any fishery under the jurisdiction of the Council concerned?

the Counci										
No					Harvesting					
_					Processing					
O Yes					Lobbying					
Chec	k all that apply:				Advocacy					
	Consulting				Marketing					
	Legal				Provides equi	pment essen	tial to any o	f the listed	activities	
	Representation	tional S	Services		Provides other	r services ess	sential to an	y of the lis	ted activit	ies
	person and related date of employn							above. Pl	ease inclu	de
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mpanies, v uncil conce No Yes Chec	essels, or other erned? ck all that apply: Harvesting Processing Lobbying Advocacy Marketing Provides eq	entities	Consulting Legal Represent	g tation	y of the follow	ving activities	in any fishe			

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, association name and fishery or fisheries of interest.

Section 2.3: Service with an association or organization by Spouse, Partner, or Minor Child

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		g Consulting g Legal Represent	ational Services ny of the above activities		
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beginni	ing date of servic	e, entity name and fisher	ry or fisheries of interest		
				16	•
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